

04-09-01

BOX SEC A

## EXPRESS MAIL CERTIFICATE

I hereby certify that this paper or fee is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 C.F.R. § 1.10 on the date indicated below and is addressed to the Commissioner for Patents, Washington, D.C. 20231.

Typed or Printed Name	Carol L. Francis	Express Mail No.	EL 563 387 505 US
Signature	<i>Carol L. Francis</i>	Date	April 6, 2001

**UTILITY  
PATENT APPLICATION  
TRANSMITTAL  
NO FEE ENCLOSED**

**DO NOT CHARGE DEPOSIT ACCOUNT**

(Only for new nonprovisional applications under 37 CFR 1.53(b))

CUSTOMER NO.	24353
Atty Docket No.	06510203US1
First Named Inventor	Raz et al.
Title	SYNERGISTIC IMPROVEMENTS TO POLYNUCLEOTIDE VACCINES

**APPLICATION ELEMENTS**

See MPEP chapter 600 concerning utility patent application contents

1. ☒ Specification (includes cover page) Total Pages 57  
(preferred arrangement set forth below)

- Descriptive title of the invention
- Cross Reference to Related Applications
- Statement Regarding Fed sponsored R & D
- Reference to Microfiche Appendix

- Background of the Invention

- Brief Summary of the Invention
- Brief Description of the Drawings (if filed)
- Detailed Description
- Claim(s)
- Abstract of the Disclosure

2. ☒ Drawing(s) (35 USC 113) Total Sheets 7

3. ☒ Oath or Declaration Total Sheets 3

- a. ☐ Newly executed (original or copy)
- b. ☐ Copy from a prior application (37 CFR 1.63(d)  
(for continuation/divisional with Box 16  
completed)

i. ☐ **DELETION OF INVENTOR(S)**  
Signed statement attached deleting  
inventor(s) named in the prior application,  
see 37 CFR 1.63(d)(2) and 1.33(b)

c. ☒ Unsigned

Address to: Commissioner for Patents  
Box Patent Application  
Washington, D.C. 20231

- 5. ☐ Microfiche Computer Program (*Appendix*)
- 6. ☐ Nucleotide and/or Amino Acid Sequence Submission  
(*if applicable, all necessary*)
  - a. ☐ Computer Readable Copy
  - b. ☐ Paper Copy (identical to computer copy)
  - c. ☐ Statement verifying identity of above copies

**ACCOMPANYING APPLICATION PARTS**

- 7. ☐ Assignment Papers (cover sheet & document(s))
- 8. ☐ 37 CFR 3.73(b) Statement ☐ Power of Attorney  
(*when there is an assignee*)
- 9. ☐ English Translation Document (*if applicable*)
- 10. ☐ Information Disclosure Statement (IDS)/PTO-1449 ☐ Copies of IDS Citations
- 11. ☐ Preliminary Amendment
- 12. ☒ Return Receipt Postcard (MPEP 503)  
(*Should be specifically itemized*)
- 13. ☐ Small Entity Statement(s) ☐ Statement filed in prior application  
Status still proper and desired
- 14. ☐ Certified Copy of Priority Document(s)  
(*if foreign priority is claimed*)
- 15. ☐ Other:
- 16. ☐ Applicant claims Small Entity Status. See 37 CFR 1.27;

**CLAIMS**

No. of claims as filed or after amendment		Most claims previously paid		Extra claims		Fee from below		Fee Due
Total claims	32	-	20	=	12	x	18	= \$
Ind. claims	3		3	=	0	x	78	=
Multiple Dependent claims			9			x	260	=

**UTILITY PATENT APPLICATION TRANSMITTAL**  
 (Only for new non-provisional applications under 37 CFR 1.53(b))

16. If a CONTINUING APPLICATION, check appropriate box and supply the requisite information:  
 — Continuation — Divisional — Continuation-in-part (CIP) of prior application No. \_\_\_/\_\_\_.

**17. CORRESPONDENCE ADDRESS**

<b>Individual Name</b>	Carol L. Francis		
<b>Firm Name</b>	BOZICEVIC, FIELD & FRANCIS LLP		
<b>Address</b>	200 Middlefield Road, Suite 200		
<b>City, State, Zip</b>	Menlo Park, CA 94025		
<b>Country</b>	U.S.A.		
<b>Telephone</b>	(650) 327-3400	<b>Facsimile</b>	(650) 327-3231

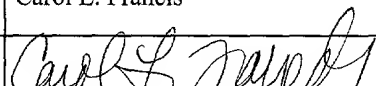
**SIGNATURE of Attorney, Agent, Applicant or Assignee of Record**

<b>Individual Name</b>	Carol L. Francis
<b>Registration No.</b>	36,513
<b>Signature</b>	<i>Carol L. Francis</i>
<b>Date</b>	<i>April 30, 2001</i>

F:\DOCUMENT\6510 - UCAL\203\6510-203 appln trans.doc

**EXPRESS MAIL CERTIFICATE**

I hereby certify that this paper or fee is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 C.F.R. § 1.10 on the date indicated below and is addressed to the Commissioner for Patents, Washington, D.C. 20231.

<b>Typed or Printed Name</b>	Carol L. Francis	<b>Express Mail No.</b>	EL 563 387 505 US
<b>Signature</b>		<b>Date</b>	April 6, 2001

**CERTIFICATION  
REGARDING SEQUENCE  
LISTING**

Address to:  
Commissioner for Patents  
Washington, D.C. 20231

Attorney Docket	06510203US1
CUSTOMER NO.	24353
First Named Inventor	Raz et al.
Application Number	Not Yet Assigned
Filing Date	Filed Herewith
Group Art Unit	Not Yet Assigned
Examiner Name	Not Yet Assigned
Title: "SYNERGISTIC IMPROVEMENTS TO POLYNUCLEOTIDE VACCINES"	

Sir:

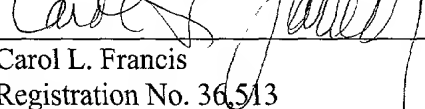
I hereby certify that the enclosed Sequence Listing is being submitted in paper copy and on a computer-readable diskette, and that the content of the paper and computer readable copies are the same.

I hereby also certify that the enclosed submission includes no new matter.

Applicants believe that no fee is due in connection with the filing of this paper. However, the Commissioner is hereby authorized to charge any fees which may be required by this paper, or to credit any overpayment, to Deposit Account No. 50-0815.

Respectfully submitted,  
BOZICEVIC, FIELD & FRANCIS LLP

Date: April 6, 2001

By:   
Carol L. Francis  
Registration No. 36,513

BOZICEVIC, FIELD & FRANCIS LLP  
200 Middlefield Road, Suite 200  
Menlo Park, CA 94025  
Telephone: (650) 327-3400  
Facsimile: (650) 327-3231

Seq. listing certification.doc